

1 JOHN W. COTTON (SBN 54912)
Email: JCotton@gghslaw.com
2 GARTENBERG GELFAND & HAYTON LLP
15260 Ventura Blvd., Suite 1920
3 Sherman Oaks, CA 91403
4 (213) 542-2100
(818) 292-0898

5 Counsel to the Receiver
Sherwood Partners Inc.
6

7 **UNITED STATES DISTRICT COURT**
8 **NORTHERN DISTRICT OF CALIFORNIA**
9

10 SECURITIES AND EXCHANGE
COMMISSION,

11 Plaintiff,

12 v.

13 JOHN B. BIVONA; SADDLE RIVER
14 ADVISORS, LLC; SRA
MANAGEMENT ASSOCIATES, LLC;
15 FRANK GREGORY MAZZOLA

16 Defendants.

17 SRA I LLC; SRA II LLC, SRA III
18 LLC, FELIX INVESTMENTS, LLC;
MICHELE J. MAZZOLA; ANNE
19 BIVONA; CLEAR SAILING GFOUP
IV LLC; CLEAR SAILING GROUP V
20 LLC,

21 Relief Defendants.
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Case No. 3:16-cv-1386

CERTIFICATE OF SERVICE

STATE OF CALIFORNIA, COUNTY OF LOS ANGELES

I am employed in the County of Los Angeles, State of California. I am over the age of eighteen (18) and not a party to the within action. My business address is 15260 Ventura Blvd., Suite 1920, Sherman Oaks, California 91403.

On June 29, 2017, I served the following document(s) described as

-RECEIVER’S NOTICE OF MOTION AND MOTION FOR APPROVAL OF CONSOLIDATED DISTRIBUTION PLAN, RETENTION OF PROFESSIONALS, DISSOLUTION OF CERTAIN DEFENDANTS AND RELIEF DEFENDANTS AND CONSENT BY THE RECIVER TO PERMANENT INJUNCTION

-DECLARATION OF GEORGIANA NERTEA IN SUPPORT OF MOTION FOR APPROVAL OF CONSOLIDATED DISTRIBUTION PLAN

-DECLARATION OF PETER HARTHEIMER IN SUPPORT OF MOTION FOR APPROVAL OF JOINT DISTRIBUTION PLAN

-[PROPOSED] ORDER APPROVING RECEIVER’S MOTION FOR APPROVAL OF A JOINT DISTRIBUTION PLAN, RETENTION OF PROFESSIONALS, DISSOLUTION OF CERTAIN DEFENDANTS AND RELIEF DEFENDANTS AND CONSENT Y THE RECEIVER TO PERMANENT INJUNCTION

on the interested parties in this action:

(X) by serving () the original **(X)** true copies thereof as follows:

Frank Gregory Mazzola 27 Dogwood Hill Drive Upper Saddle River, NJ 07458	Michele J. Mazzola 27 Dogwood Hill Drive Upper Saddle River, NJ 07458
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<p>() BY MAIL I caused such envelope to be deposited in the mail at Los Angeles, California. The envelope was mailed with postage thereon fully prepaid. I am “readily familiar” with the firm’s practice of collection and processing correspondence for mailing. It is deposited with U.S. postal service on that same day in the ordinary course of business. I am aware that on motion of party served, service is presumed invalid if postal cancellation date or postage meter date is more than one (1) day after date of deposit for mailing in affidavit.</p>	<p>() BY FACSIMILE TRANSMISSION I caused said document(s) to be transmitted by facsimile transmission to the name(s) and facsimile telephone number(s) of the person(s) named on the attached service list. The facsimile machine telephone number of the sending facsimile machine was (213) 542-2101. A transmission report was issued by the sending facsimile machine confirming that the transmission was completed without error. A true and correct copy of said transmission report is attached hereto.</p>
<p>(XX) BY OVERNIGHT DELIVERY Said document was placed in an envelope designated by the express service center and placed for collection in a box regularly maintained by said carrier with whom we have a direct billing account, to be delivered to the office of the addressee listed above on the next business day.</p>	<p>() BY ELECTRONIC TRANSMISSION I caused the above-described document to be electronically served through Case Anywhere pursuant to the Court’s Order Authorizing Electronic Service dated _____, to the names and email addresses listed on the Service List attached hereto.</p>

1 (X) FEDERAL I declare that I am employed in the office of a member of the
2 bar of this court at whose direction the service was made.

3 (X) EXECUTED on June 29, 2017, at Los Sherman Oaks, California.
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